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Counsel for Defendants

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

DANIELLE CARR, Individually and On
Behalf of All Others Similarly Situated,

Plaintiff,

v.

ZOSANO PHARMA CORPORATION,
STEVEN LO, JOHN P. WALKER, and
KONSTANTINOS ALATARIS,

Defendants.

Case No. 3:20-cv-07625-EMC

(Consolidated with Case No. 3:20-cv-07850)

**JOINT STIPULATION OF DISMISSAL
WITH PREJUDICE**

ROB BECERRA, Individually and On Behalf
of All Others Similarly Situated,

Plaintiff,

v.

ZOSANO PHARMA CORPORATION,
STEVEN LO, JOHN P. WALKER, and
KONSTANTINOS ALATARIS,

Defendants.

Case No. 3:20-cv-07850-CRB

1 WHEREAS, on February 4, 2021, the Court granted a Stipulation and Order Consolidating
2 Related Actions, Appointing Lead Plaintiffs, and Approving Lead Counsel in *Carr v. Zosano*
3 *Pharma Corp. et al.*, No. 3:20-cv-07625-EMC (“the *Carr* action”), and *Becerra v. Zosano Pharma*
4 *Corp. et al.*, No. 3:20-cv-07850-CRB (“the *Becerra* action”);

5 WHEREAS, on March 30, 2021, Co-Lead Plaintiffs Tuk Doss and Hosam Alqurashi
6 (“Plaintiffs”) filed a Consolidated Amended Class Action Complaint for Violations of the Federal
7 Securities Laws (“CAC”) against Defendants Zosano Pharma Corporation, Steven Lo, John P.
8 Walker, and Kostantinos Alataris (“Defendants”) alleging violations of Section 10(b) of the
9 Securities Exchange Act of 1934 (“1934 Act”) and Rule 10b-5 promulgated thereunder, and
10 Section 20(a) of the 1934 Act (Dkt. No. 68);

11 WHEREAS, on September 1, 2021, the Court issued an order granting Defendants’ Motion
12 to dismiss the CAC as to all counts (Dkt. No. 91, the “Dismissal Order”);

13 WHEREAS, the Court granted Plaintiffs leave to file an amended complaint no later than
14 October 1, 2021;

15 WHEREAS, Plaintiffs have determined that they will not file a further amended complaint
16 and will not seek appellate review of the Dismissal Order;

17 Now, therefore, it is hereby stipulated by and between Plaintiffs on the one hand, and
18 Defendants on the other hand, through their undersigned counsel, as follows:

19 1. The *Carr* and *Becerra* actions are dismissed with prejudice pursuant to Federal
20 Rule of Civil Procedure 41(a)(1)(A)(ii).

21 2. Each of the Parties, and his or its attorneys of record, stipulate not to contest that
22 the other Parties and their attorneys of record complied with the requirements of Federal Rules of
23 Civil Procedure 11 and all other applicable rules and laws at all times in this action.

24 3. Each of the Parties shall bear their own attorneys’ fees and costs incurred in
25 connection with this action.

26 4. Plaintiffs hereby waive all rights to appeal in the *Carr* action and the *Becerra*
27 action, including, but not limited to, all rights to appeal from the Dismissal Order.

28 IT IS SO STIPULATED.

1 DATED: October 8, 2021

GLANCY PRONGAY & MURRAY LLP

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Additional Counsel for Plaintiffs

1 DATED: October 8, 2021

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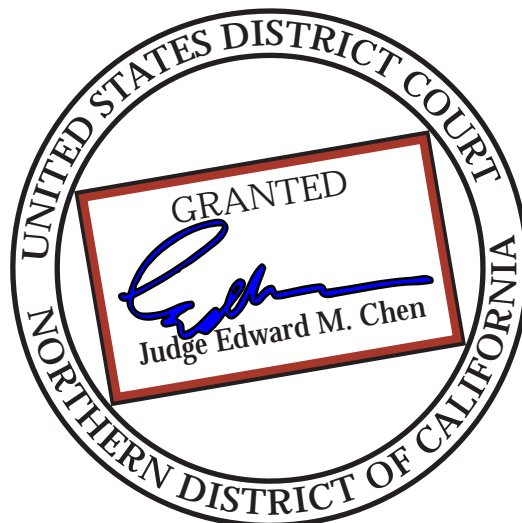
11
12 Pursuant to Civil L.R. 5-1(i)(3), all signatories concur in filing this stipulation.

13 DATED: October 8, 2021

/s/ Leanne H. Solish

14 Leanne H. Solish

15
16 In the event Plaintiff's counsel is contacted by any putative class members, they are to inform those
17 members of the dismissal.



24 DATED: October 8, 2021